



Patent  
Attorney's Docket No. 033275-301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#1 | IDS  
D. Evans

In re Patent Application of	)	
Juergen BAER et al.	)	Group Art Unit: Unassigned
Application No.: 09/988,405	)	Examiner: Unassigned
Filed: November 19, 2001	)	Confirmation No.: 8526
For: ROTOR FOR AN ELECTRICALLY ROTATING MACHINE	)	

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

U.S. Patent No. 1,913,264;  
U.S. Patent No. 3,119,033;  
U.S. Patent No. 4,395,816;  
U.S. Patent No. 6,097,116;  
DE 28 34 988;  
DE 27 20 270;  
EP 0 854 559;  
EP 0 684 682;  
DE 197 37 163;  
FR 2 203 201;  
JP 8-317580;  
JP 57-003542; and  
JP 9-9542.

Also enclosed are copies of a Search Report/Examination Report issued in a corresponding foreign patent application by the German and European Patent Offices.

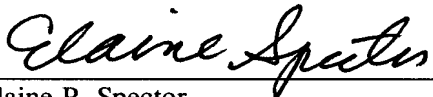
The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

This Information Disclosure Statement contains information which is not in the English language but was cited in a search report or other action by a foreign patent office in a counterpart foreign application. In accordance with M.P.E.P. § 609 A(3) an English language version of the search report or action which indicates the degree of relevance found by the foreign office is being submitted herewith.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:   
Elaine P. Spector  
Registration No. 40,116

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: July 2, 2002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Juergen BAER et al.

Application No.: 09/988,405

Filed: November 19, 2001

For: ROTOR FOR AN ELECTRICALLY  
ROTATING MACHINE

)  
) Group Art Unit: Unassigned

)  
) Examiner: Unassigned

)  
) Confirmation No.: 8526

**INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ [X] No additional fee for submission of an IDS is required.
- ☐ [ ] The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ [ ] A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ [ ] A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ [ ] Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ [ ] A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

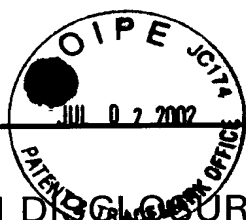
Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

By: Elaine P. Spector  
Elaine P. Spector  
Registration No. 40,116

Date: July 2, 2002



Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ATTORNEY'S DKT No.

033275-301

APPLICATION No.

09/988,405

APPLICANT

Juergen BAER et al.

FILING DATE

November 19, 2001

GROUP

Unassigned

## U.S. PATENT DOCUMENTS

Examiner Initials	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication (MM-DD-YYYY)
	Number	Kind Code (if known)		
	1,913,264		M. Cornu	06/06/1933
	3,119,033		W.D. Horsley et al.	01/21/1964
	4,395,816		Pangburn	08/02/1983
	6,097,116		Hess et al.	08/01/2000

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	no
	28 34 988		Germany	03/01/1979		X
	27 20 270		Germany	09/11/1978		X
	0 854 559		Europe	07/22/1998		X
	0 684 682		Europe	04/20/1995		
	197 37 163		Germany	03/04/1999		X
	2 203 201		France	05/10/1974		X
	8-317580		Japan	11/29/1996	Abstract	
	9-9542		Japan	01/10/1997	Abstract	
	57003542		Japan	01/09/82	Abstract Only	

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
Examiner Signature	Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.